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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:
George M. Macdonald et al.

Serial No.: 10/064,293

Filed: June 28, 2002

Group Art Unit: TBD

) Attorney Docket No.: F-533

) Customer No.:



) 00919

) PATENT TRADEMARK OFFICE

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#2
P. C. H. W.
1-14-03

Title: SYSTEM AND METHOD FOR A WIRELESS USER INTERFACE FOR BUSINESS MACHINES

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant submits herewith patents, publications or other information of which Applicant is aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" against this application.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☒ 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☐ 37 CFR §1.97(c) - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**** Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ 37 CFR §1.97(d) - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

Specified Statement under 37 CFR § 1.97(e)(1) - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CFR § 1.97(e)(2) - No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

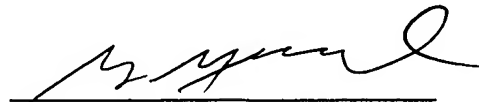
A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. **16-1885**.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,



George M. Macdonald
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PITNEY BOWES INC.
Intellectual Property and
Technology Law Department
35 Waterview Drive
P.O. Box 3000
Shelton, CT 06484-8000

Plus attachments: Form PTO-1449 (Modified)
One copy of each of the references


CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

On September 6, 2002
Date of Deposit

George M. Macdonald
Name of Registered Rep.


Signature

September 6, 2002
Date



FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket Number F-533	Serial No. 10/064,293
	Applicant George M. Macdonald et al.	
	Filing Date June 28, 2002	Group TBD

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,327,160	7/5/94	Asher	345	156	11/16/92
	AB	5,371,553	12/6/94	Kawamura et al.	348	734	5/6/94
	AC	5,437,010	7/25/95	Blackman et al.	395	161	8/23/93
	AD	5,666,530	9/9/97	Clark et al.	395	617	12/2/92
	AE	5,684,706	11/4/97	Harman et al.	364	464.16	5/31/95
	AF	5,935,221	8/10/99	Felmus et al.	710	10	6/30/97
	AG	6,223,029	4/24/01	Stenman et al.	455	420	4/29/97
	AH	6,243,682	6/5/01	Eghtesadi et al.	704	270	11/9/98
	AI	6,266,055B1	7/24/01	Mozdzer et al.	345	333	7/30/99
	AJ	6,307,571B2	10/23/01	Mozdzer et al.	345	762	3/15/01
	AK	6,374,306	4/16/02	Tognazzini	709	248	3/12/99

FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
	AL	WO 01/41483A1	06/07/01	PCT			
	AM	EP 1 069 500 A1	01/17/01	EPO			
	AN	EP 1 045 302 A1	10/18/00	EPO			

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant George M. Macdonald et al.	
	Filing Date June 28, 2002	Group TBD

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AO	Communication Ubiquity Enables Ubiquitous Control - Brad A. Myers and Jeffrey Nichols; dated November 27, 2001, accessed on Internet 6/28/02.
	AP	Floor Control in a Highly Collaborative Co-Located Task – Brad A. Myers, Yu Shan A. Chuang, Marsha Tjandra, Mon-chu Chen, and Chun-Kwok Lee, undated, accessed on Internet 6/28/02 at http://www.cs.cmu.edu/~pebbles .
	AQ	Generating Remote Control Interfaces for Complex Appliances – Jeffrey Nichols, Brad A. Myers, Michael Higgins, Joseph Hughes, Thomas K. Harris, Roni Rosenfeld, Mathilde Pignol, undated, accessed on Internet 6/28/02 at http://www.cs.cmu.edu/~pebbles..
	AR	Personal Interfaces in Ubiquitous Environments – Position paper for the CHI'2001 Workshop on Building the Ubiquitous Computing User Experience – Jeffrey Nichols, Brad A. Myers and Rob Miller, undated, accessed 6/28/02.
	AS	Requirements for Automatically Generating Multi-Modal Interfaces for Complex Appliances – Jeffrey Nichols, Brad Myers, Thomas K. Harris, Roni Rosenfeld, Stefanie Shriver, Michael Higgins and Joseph Hughes, undated, accessed on Internet 6/28/02 at http://www.cs.cmu.edu/~pebbles .
	AT	Studying The Use of Handhelds to Control Everyday Appliances – Jeffrey Nichols and Brad A. Myers, undated, accessed on Internet 6/28/02 at http://www.cs.cmu.edu/~pebbles .
	AU	User Interfaces that Span Hand-Held and Fixed Devices – Position paper for the CHI'2001 Workshop on Distributed and Disappearing User Interfaces in Ubiquitous Computing – Brad A. Myers, Jeff Nichols and Rob Miller, undated, accessed 6/28/02.
	AV	Centimeter-Accuracy Indoor Navigation Using GPS-Like Pseudolites - GPS World, dated November 1, 2001.
EXAMINER		DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.		